

COMMISSIONER FOR UNITED STATES PATENT AND TRADEMARK C WASHINGTON, D.C. 2C

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/827,834

04/06/2001

Robert Lawrence Prosise

8043M

CONFIRMATION NO. 6353

FORMALITIES LETTER

OC000000006083122*

James F. Mc Bride The Procter & Gamble Company Winton Hill Technical Center 6071 Center Hill Avenue Cincinnati, OH 45224

Date Mailed: 05/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

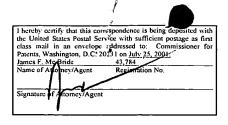
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/02/2001 MAHMED1 00000027 162480 09827834

02 FC:105

130.00 CH





P&G Case 8043M

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Jeffrey John Kester, et al.

Serial No. 09/827,834

Confirmation No.: 6353

Filed April 6, 2001

For: COMPOSITIONS FOR REDUCING HYPERCHOLESTEROLEMIA AND

CONTROLLING OF POSTPRANDIAL BLOOD GLUCOSE AND INSULIN LEVELS

COMPLETION OF FILING REQUIREMENTS

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

Dear Sir:

- [X] This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed May 16, 2001. A copy of the Notice to File Missing Parts of Application-Filing Date Granted (Form PTO-1533) is enclosed.
 - [X] No declaration or oath was filed. Enclosed is the original declaration or oath for this application.
- [X] The Commissioner is hereby authorized to charge payment of the surcharge set forth in 37 CFR §1.16(e) to Deposit Account No. 16-2480. The Commissioner is also hereby authorized to charge payment of any patent application processing fees under 37 C.F.R. §§1.16 and 1.17 associated with this communication or credit any over-payment to Deposit Account No. 16-2480. A duplicate copy of this sheet is attached.
- [X] The Commissioner is also hereby petitioned under 37 C.F.R. 1.136(a) to grant any extension of time needed for timely response to the Notice to File Missing Parts to preserve the pendency of the above-identified application. The processing fee under 37 C.F.R. §1.136(a) is as follows:
 - [X] \$110.00 for one-month extension of time

08/02/2001 MAHMED1 00000027 162480 0982783

01 FC:115

110.00 CH

The Commissioner is hereby authorized to make any additional copies of this sheet needed to accomplish the purposes provided for herein and to charge any fee for such copies to Deposit Account No. 16-2480.

Respectfully submitted,

By

James F. Mc Bride

Attorney for Applicant(s) Registration No. 43,784

(513) 634-1402

July 25, 2001

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL

for FY 2001 P.

Complete if Known					
Application Number	09/827,834				
Confirmation Number	6353				
Filing Date	April 6, 2001				
First Named Inventor	Jeffrey John Kester, et al.				
Examiner Name	Unknown				
Group/Art Unit	1761				
Attorney Docket No	8043M				

TOTAL AMOUNT OF PAYMENT

(\$)240.00

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)				
1. [X] The Commissioner is hereby authorized to charge indicated	3. ADDITIONAL FEES				
fees and credit any over payments to:	Code (\$) Fee Description Fee Pa	id			
Deposit Account Number 16-2480	105 130 Surcharge-late filing fee or oath	[X]			
Deposit Account Name The Procter & Gamble Company	127 50 Surcharge-late provisional filing fee or cover sheet	0			
[X] Charge Any Additional Fee Required Under status. See 37 CFR §127	139 130 Non-English specification	[]			
	147 2,520 For filing a request for ex parte reexamination	[]			
37 C.F.R. §§1.16 and 1.17	112 920* Requesting publication of SIR prior to Examiner's action	0			
FEE CALCULATION	113 1,840* Requesting publication of SIR after Examiner's action	0			
1 DASIC EH INC EEE Loure Entity					
1. BASIC FILING FEE - Large Entity	115 110 Extension for reply within 1 st month 116 390 Extension for reply within 2 nd month	[X]			
Col. (f)		. 0			
Code (\$) Fee Description Fee Paid	117 890 Extension for reply within 3 rd month	0			
101 710 Utility filing fee [] 106 320 Design filing fee []	118 1,390 Extension for reply within 4 th month	[]			
	128 1,890 Extension for reply within 5 th month	0			
	119 310 Notice of Appeal 120 310 Filing a brief in support of an appeal	[]			
108 710 Reissue filing fee [] 114 150 Provisional filing fee []	120 310 Filing a brief in support of an appeal 121 270 Request for oral hearing	[]			
114 130 Provisional timig fee []	138 1,510 Petition to institute a public use proceeding	0			
SUBTOTAL (1) (\$)[]	140 110 Petition to revive - unavoidable	0 0			
2. EXTRA CLAIM FEES – Large Entity	141 1,240 Petition to revive - unintentional				
2. EXTRA CEARN FEES - Large Entity	142 1,240 Utility issue fee (or reissue)	0 0			
Extra Below Fee	143 440 Design issue fee	D D			
Claims Fee Paid	144 600 Plant issue fee	0			
Total Claims $\begin{bmatrix} 1 - 20** = 1 \end{bmatrix} \times \begin{bmatrix} 1 = 1 \end{bmatrix}$	122 130 Petitions to the Commissioner	Ö			
Independent Claims $\begin{bmatrix} 1 & 3** = 1 \\ 1 & 3** = 1 \end{bmatrix}$	123 50 Petitions related to provisional applications	Ö			
Multiple Dependent [] = []	126 180 Submission of Information Disclosure Statement	Ö			
** or number previously paid, if greater; For Reissues, see below	146 710 Filing a submission after final rejection				
	(37 CFR § 1.129(a))	0			
Code (\$) Fee Description	149 710 For each additional invention to be				
103 18 Claims in excess of 20	examined (37 CFR §1.129(b)	[]			
102 80 Independent claims in excess of 3	179 710 Request for Continued Examination (RCE)				
104 270 Multiple dependent claim, if not paid	169 710 Request for expedited examination	0			
109 80 **Reissue independent claims over original patent	of a design application				
110 18 **Reissue claims in excess of 20 & over original patent					
	Other fee (specify)	[]			
	Other fee (specify)	[]			
SUBTOTAL (2) (\$)[]	* Reduced by Basic Filing Fee Paid SUBTOTAL(3) (\$)	[240]			

SUBMITTED BY					Comple	Complete (if applicable)	
Name (Print/Type)	James F. Mc Bride	1	Registration No. (Attorney/Agent)	43,784	Telephone	(513) 634-1402	
Signature		h			Date	July 25, 2001	

WARNING: Information on this form may become public Critic and information should not be included on this form. Provide credit card information and authority of time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.